

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | F   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |
|-----------------|---|-------------|----------------------|------------------------|------------------|
| 10/823,299      | •   | 04/13/2004  | Michael O. Rocheleau | MT-136 4771            |                  |
| 50997           | 7590  | 08/23/2006  |                      | EXAMINER               |                  |
| MITCHEL         |   |             | RINEHART, KENNETH    |                        |                  |
| •               | SEQUA CORPORATION 3 UNIVERSITY PLAZA ART UNIT PAR |             |                      |                        |                  |
| HACKENS         |   |             |                      | 3749                   |                  |
|                 |   |             |                      | DATE MAILED: 08/23/200 | 6                |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | Application No.  | Applicant(s)   |   |  |  |  |  |
|--|--|--|---|--|--|--|--|
| Advisory Action  | 10/823,299   | ROCHELEAU, MICHAEL O.                                    |   |  |  |  |  |
| Before the Filing of an Appeal Brief   | Examiner   | Art Unit   |   |  |  |  |  |
|  | Kenneth B. Rinehart  | 3749   |   |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address  |  |  |   |  |  |  |  |
| THE REPLY FILED <u>14 August 2006</u> FAILS TO PLACE THIS AI   |  | =  |   |  |  |  |  |
| <ol> <li>The reply was filed after a final rejection, but prior to or on<br/>this application, applicant must timely file one of the follow<br/>places the application in condition for allowance; (2) a No<br/>a Request for Continued Examination (RCE) in compliance<br/>time periods:</li> </ol>   | wing replies: (1) an amendment, aff<br>rtice of Appeal (with appeal fee) in c  | idavit, or other evider compliance with 37 C             | nce, which<br>FR 41.31; or (3)            |  |  |  |  |
| The period for reply expires 3 months from the mailing date of the final rejection.  The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.   |  |  |   |  |  |  |  |
| Examiner Note: If box 1 is checked, check either box (a) or TWO MONTHS OF THE FINAL REJECTION. See MPEP 7  | (b). ONLY CHECK BOX (b) WHEN THE<br>06.07(f).  | FIRST REPLY WAS F  | ILED WITHIN                               |  |  |  |  |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date nave been filed is the date for purposes of determining the period of exunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL | tension and the corresponding amount<br>shortened statutory period for reply origi<br>r than three months after the mailing da | of the fee. The appropri<br>inally set in the final Offi | ate extension fee<br>ce action; or (2) as |  |  |  |  |
| NOTICE OF APPEAL 2. The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exte a Notice of Appeal has been filed, any reply must be filed AMENDMENTS   | nsion thereof (37 CFR 41.37(e)), to  | avoid dismissal of th                                    |   |  |  |  |  |
| 3. X The proposed amendment(s) filed after a final rejection,  | but prior to the date of filing a brief  | will not be entered b                                    | acausa                                    |  |  |  |  |
| (a) ☐ They raise new issues that would require further co  |  |  | ecause                                    |  |  |  |  |
| (b) They raise the issue of new matter (see NOTE below   | w);  | •  | •   |  |  |  |  |
| (c) ☐ They are not deemed to place the application in be appeal; and/or  |  |  | the issues for                            |  |  |  |  |
| (d) They present additional claims without canceling a<br>NOTE: <u>see attached</u> . (See 37 CFR 1.116 and 41.  |  | ected claims.  |   |  |  |  |  |
| 1. The amendments are not in compliance with 37 CFR 1.1  |  | mpliant Amendment  | (PTOL-324).                               |  |  |  |  |
| 5. Applicant's reply has overcome the following rejection(s)   |  | E  |   |  |  |  |  |
| 6. Newly proposed or amended claim(s) would be all non-allowable claim(s).   | •  | •  | J   |  |  |  |  |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows:   |  | ll be entered and an e                                   | explanation of                            |  |  |  |  |
| Claim(s) allowed: Claim(s) objected to:  |  |  |   |  |  |  |  |
| Claim(s) rejected:   |  | •  |   |  |  |  |  |
| Claim(s) withdrawn from consideration:   |  |  |   |  |  |  |  |
| AFFIDAVIT OR OTHER EVIDENCE  3. The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).   |  |  |   |  |  |  |  |
| The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to a showing a good and sufficient reasons why it is necessare.  | overcome <u>all</u> rejections under appea<br>y and was not earlier presented. S   | al and/or appellant fai<br>ee 37 CFR 41.33(d)(           | ls to provide a<br>1).                    |  |  |  |  |
| 10. The affidavit or other evidence is entered. An explanation<br>REQUEST FOR RECONSIDERATION/OTHER  | n or the status of the claims after e  | ntry is below or attact                                  | nea.                                      |  |  |  |  |
| 11.   The request for reconsideration has been considered but  | nt does NOT place the application in   | n condition for allowa                                   | nce because:                              |  |  |  |  |
| 12. Note the attached Information Disclosure Statement(s).  13. Other:   | (PTO/SB/08 or PTO-1449) Paper N  | lo(s)  |   |  |  |  |  |
|  |  |  |   |  |  |  |  |
|  |  |  |   |  |  |  |  |

Application/Control Number: 10/823,299

Art Unit: 3749

Examination on the merits has ended. It should be kept in mind that the applicant cannot, as a

matter of right, amend any finally rejected claims or add new claims after a final rejection (37

CFR 1.116). In the response the applicant has amended claims 5,6,8,11, and added 15 and 16

with no apparent justification. The additional limitations to claim 6, and new claims 15 and 16

would require further consideration or search. Additionally, the applicant has failed to provide

good and sufficient reasons why the amendment is necessary and was not earlier introduced (37

CFR 1.116(b)). Therefore, the amendment will not be entered.

KENNETH RINEHART PRIMARY EXAMINER Page 2